

12-19-01

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*LIMITED TO MATTERS
AND PROCEEDINGS BEFORE
FEDERAL COURTS & AGENCIES
**REGISTERED PATENT AGENT
***SENIOR COUNSEL

August 1, 2001

WRITER'S DIRECT NUMBER:
(202) 218-7825

INTERNET ADDRESS:
SZISKA@SKGF.COM

Commissioner for Patents
Washington, D.C. 20231

Box Missing Parts

Re: U.S. Patent Application
Appl. No. 09/722,441; Filed: November 28, 2000
For: **Increased Lysine Production by Gene Amplification**
Inventors: Hanke *et al.*
Our Ref: 1533.1030002/SRL/SEZ

Sir:

In reply to the "Notice to File Missing Parts of Application--Filing Date Granted," dated May 1, 2001, Applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:

1. Petition for Extension of Time under 37 C.F.R. § 1.136 (in duplicate);
2. PTO Fee Transmittal Form PTO/SB/17 (in duplicate);
3. Copy of the Notice to File Missing Parts;
4. Original Declaration, executed by inventor Paul Hanke;
5. Original Declaration, executed by inventors Lhing-Yew Li-D'Elia, Corey M. Crafton and P. John Rayapati;
6. Original Declaration, executed by inventor Holly J. Walsh;

Commissioner for Patents
August 1, 2001
Page 2

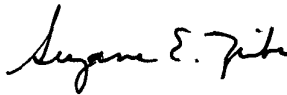
7. An original executed Power of Attorney from Assignee;
8. Assignee 37 C.F.R. § 3.73(b) Statement with copy of Assignment executed by Paul D. Hanke attached;
9. Copy of assignment executed by Lhing Yew-Li-D'Elia, P. John Rayapati, Corey M. Crafton attached;
10. Copy of assignment executed by Holly Walsh attached;
11. Return postcard; and
12. Our Check No. 32078 for \$240.00 to cover:
\$130.00 Surcharge for late filing of Declaration (37 C.F.R. § 1.16)
\$110.00 For extension of time fees under 37 C.F.R. § 1.136.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Suzanne E. Ziska
Agent for Applicants
Registration No. 43,371

SRL/SEZ:vcf

P:\USERS\SZISKA\1533\1030002\ntfmp transmittal



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See for \$

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Box Assignment

Re: U.S. Patent Application
Appl. No. 09/722,441; Filed: November 28, 2000
For: **Increased Lysine Production by Gene Amplification**
Inventors: Hanke *et al.*
Our Ref: 1533.1030002/SRL/SEZ

Sir:

In reply to the "Notice to File Missing Parts of Application--Filing Date Granted," dated May 1, 2001, Applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:

1. Recordation Cover Sheet (Form PTO-1595);
2. Assignment to Archer-Daniels-Midland Company, executed by Paul D. Hanke;
3. Assignment to Archer-Daniels-Midland Company, executed by Lhing-Yew Li-D'Elia, P. John Rayapati, Corey M Crafton;
4. Assignment to Archer-Daniels-Midland Company, executed by Holly Walsh;
5. Fee Transmittal Form PTO/SB/17;
6. Return postcard; and
7. Our Check No. 32077 for \$40.00 to cover recordation fees.

Commissioner for Patents

August 1, 2001

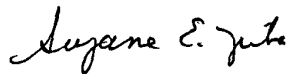
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It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Suzanne E. Ziska
Agent for Applicants
Registration No. 43,371

SRL/SEZ:vcf

P:\USERS\SZISKA\1533\1030002\ntfmp transmittal assignment
SKGF Rev. 4/27/00



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/722,441	11/28/2000	Paul D. Hanke	1533.1030002/SRL/SEZ

CONFIRMATION NO. 4696

FORMALITIES LETTER



OC000000006027924

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.
Attorneys at Law
Suite 600
1100 New York Avenue, N.W.
Washington, DC 20005-3934

Date Mailed: 05/01/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

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For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*



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PART 2 - COPY TO BE RETURNED WITH RESPONSE